DM-10/2003 Sheet 2 of 2

(Rev. 5/92) & TRADEMAN	Attorney Docket Number:	Serial Number:			
Information Disclosure Statement List	KCX-839 (18971)	10/687,270			
By Applicant(s)	Applicant:				
Under 37 CFR Section 1.98(a) (1)	MacDonald, e	t al.			
(Use several sheets if necessary)	Filing Date:	Group Art Unit:			
	October 16, 2003	1616			
	Confirmation No:				
	9987				

^{*&}quot;NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER	OTHER DOCUMENTS							
INITIALS	Specify author (if any), Title, Pertinent Pages,	, Pertinent Pages, Date & Place of Publication						
	Abstract of SU834073	05/30/1981						
	PCT Search Report and Written Opinion for PCT/US2004/011596	08/30/2004	·					
	PCT Search Report and Written Opinion for PCT/US2004/016933	11/02/2004	-					
EXAMINER		DATE CONSIDERED	<u></u>					
dr	nitial if catation considered, whether or not citation with through citation if not in conformance a is form with the next communication to applican	nd not considered. Include a co						

(Rew. 5/92) RADENTS	Attorney Docket Number:	Serial Number:
Imformation Disclosure Statement List	KCX-839 (18971)	10/687,270
By Applicant(s)	Applicant	:
Under 37 CFR Section 1.98(a) (1)	MacDonald, e	et al.
(Use several sheets if necessary)	Filing Date:	Group Art Unit:
	October 16, 2003	1616
	Confirmation No:	
	9987	

NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]"

(1) This item is cumulative, per Rule 98(c)

(2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:

USSN ______, filed ______, o
USSN ______, filed ______;

Relied on under 35 U.S.C. Section 120, per Rule 98(d)

(3) Both reasons (1) and (2) apply

(4) No legible complete copy is possessed, in custody of controlled, or readily available

(5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

EXAMINER INITIALS	PATENTEE NAME	PA	PATENT NUMBER						ISSUE DATE	COPY NOTE
	Pratt	3	7	9	4	4	9	7.	02/26/1974	5
	Müller, et al.	5	3	6	6	9	4	7	11/22/1994	5
	Hüsler, et al.	5	7	9	5	9	8	5	08/18/1998	5

U.S. PATENT	APPLICATION I	PUBLICAT	TION	NS							
EXAMINER INITIALS	APPLICANT'	PU	BLI	CATI	ON	NUN	PUBLICATION DATE	COPY NOTE			
	Hage, et al.	2003	0	0	5	0	2	1	1	03/13/2003	5
	Jakob, et al.	2002	0	0	6	6	5	4	2	06/06/2002	5
	Fischer, et al.	2002	0	0	9	1	0	7	1	07/11/2002	5
					l		1	<u> </u>			

EXAMINER INITIALS	COUNTRY	DO	DOCUMENT NUMBER							PUBLICATION DATE	TRANSLATION			COPY NOTE
											YES	NO	N/A	
	EP	1	1	6	2	1	7	2	Al	12/12/2001				
	EP	1	1	8	8	8	5	4	A1	03/20/2002			X	
	EP	1	2	1	4	8	7	8	Al	06/19/2002			X	
	WO w/ English Abstract	0	0	2	9	0	3	6	A2 & A3	03/25/2000		Х		
	WO 2004	0	0	0	9	8	6		A1	12/31/2003			X	
	WO w/ English Abstract	0	0	5	9	5	5	5	A1	10/12/2000		X		
	wo	9	7	2	5	0	7	6	Al	07/17/1997			X	

J- (W DM-10/

ATTORNEY DOCKET NO: KCX-839 (18971)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

MacDonald, et al.

Serial No: .

10/687,270

Filed:

October 16, 2003

Confirmation No:

9987

HOV 1 8 2004 25)

Group Art Unit:

1616

Examiner:

Unknown

Our Account No:

04-1403

Customer No:

22827

Title:

Visual Indicating Device For Bad Breath)

Commissioner for Patents
U.S. Patent and Trademark Office
Post Office Box 1450
Alexandria, VA 22313-1450

Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1.[x] Attached hereto is:

::::

- a.[x] A list of materials for consideration per Rule 98(a)(1): 2 page(s)
- b.[x] A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), valess not required per Rule 98 and/or as indicated on the attached list(s):

 16 item(s)
- c.['] For each <u>non-English</u> language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items:
 - [] Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.
- 2.[x] This Information Disclosure Statement is being filed [CHECK ONE]:
 - a:[-] WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, <u>OR</u> BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, <u>WHEREFORE</u> per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
 - b:[x] AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance <u>OR</u> an action that otherwise closes prosecution, <u>WHEREFORE</u> PER Rule 97(c) submitted herewith is [CHECK ONE]:
 - i.[] Certification per Rule 97(e); OR
 - c:[] AFTER a Final Action <u>OR</u> Notice of Allowance, but BEFORE payment of the issue fee, <u>WHEREFORE</u> per Rule 97(d) submitted herewith is:

 11/19/2004 MBERHE 00000041 10687270
 - i. Certification per Rule 97(e); AND 01 FC:1806
- 3.[] Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:
 - a.[] That each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
 - b.[] That no item of information contained in this Information Disclosure Statement was cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

		made by signer per signature below).	ottom ssignature; omission here indicates that certification is being
		Name:	Slignature:
		Address:	Date:
4.[x]	herew now o	rized hereafter, or any fees in addition to the fe rith or concerning any paper filed hereafter, and or hereafter relative to this application and the r	ommissioner is hereby authorized to charge any fee specifically the specifically the filled, or asserted to be filed, or which should have been filled the which may be required under Rules 16-18 (deficiency only) resulting official document under Rule 20, or credit any ing hereof for which purpose a duplicate copy of this sheet is of the issue fee in this case.
5.[x]		TIFICATE OF MAILING: This Information DPLETE ONE]:	isclosure Statement is being filed pursuant to [CHECK AND
٠	a.[x]	First Class Mail Certificate of Mailing under	Rule 88:
		I hereby certify that this correspondence and the United States Postal Service as first class	any referenced attachment and/or fee are being deposited with mail in an envelope addressed to the:
		Commissioner for Patents U.S. Patent and Trademark Office Post Office Box 1450 Alexandria, VA 22313-1450	
		on <u>November 15, 2004</u> .	
		Sandra S. Perkins (Typed/printed name of person mailing pape) (Signature of person mailing paper or fee)	r or feee)
	b.[]	"Express Mail" Certificate under Rule 10:	
		"Express Mail" - Label No Date of Deposit	
			nents and any fee are being deposited with the U.S. Postal see" scarvice under 37 CFR 1.10 on the date indicated above and
		Commissioner for Patents U.S. Patent and Trademark Office Post Office Box 1450 Alexandria, VA 22313-1450.	
		(Typed/printed name of person mailing paper	r or fee)
		(Signature of person mailing paper or fee)	
	fice Bo		DORITY & MANNING, ATTORNEYS AT LAW, P.A.
		29602 USA o.: 22827	Ryr Christina I Mangelsen Patent Agent
		0.: <i>22827</i> 4-271-1592	By: Christina L. Mangelsen, Patent Agent
		4-233-7342	Reg. No: 50,244
			Signature: Justin Wangson
			Datte: November 15, 2004